UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/764,557	01/27/2004	Andrew F. Knight		9594

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EXAMINER
WANG, JIN CHENG

ART UNIT	PAPER NUMBER
2672	

DATE MAILED: 07/15/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

PTO-90C (Rev. 10/03)

	Application No.	Applicant(s)
Office Action Summary	10/764,557	KNIGHT, ANDREW F.
	Examiner	Art Unit	
	Jin-Cheng Wang	2672	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE _3_ MONTH(S) FROM
THE MAILING DATE OF THIS COMMUNICATION.
- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
  Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

1)☐ Responsive to communication(s) filed on _____.

2a)☐ This action is **FINAL**.   2b)☒ This action is non-final.

3)☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

4)☒ Claim(s) _1-20_ is/are pending in the application.

     4a) Of the above claim(s) _____ is/are withdrawn from consideration.

5)☐ Claim(s) _____ is/are allowed.

6)☒ Claim(s) _1-20_ is/are rejected.

7)☐ Claim(s) _____ is/are objected to.

8)☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

9)☐ The specification is objected to by the Examiner.

10)☐ The drawing(s) filed on _____ is/are: a)☐ accepted or b)☐ objected to by the Examiner.

     Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).

     Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).

11)☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

12)☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).

     a)☐ All   b)☐ Some * c)☐ None of:

        1.☐ Certified copies of the priority documents have been received.

        2.☐ Certified copies of the priority documents have been received in Application No. _____.

        3.☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

     * See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

1) ☒ Notice of References Cited (PTO-892)

2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)

3) ☒ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
     Paper No(s)/Mail Date _1/27/2004_.

4) ☐ Interview Summary (PTO-413)
     Paper No(s)/Mail Date. _____.

5) ☐ Notice of Informal Patent Application (PTO-152)

6) ☐ Other: _____.

DETAILED ACTION

Specification

The disclosure is objected to because of the following informalities: On line 7 of Page 2,

"at one" should be "at least one". On line 6 of claim 18, page 42, "ending" should be "sending".

Appropriate correction is required.

Claim Objections

Claim 18 is objected to because of the following informalities: On line 6 of claim 18,

page 42, "ending" should be "sending". Appropriate correction is required.

Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the

basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

Claims 1-9 and 12-20 are rejected under 35 U.S.C. 102(e) as being anticipated by Cosatto

et al. U.S. Patent No. 6,504,546 (hereinafter Cosatto).

Re Claims 1, 12, 18:

Cosatto discloses a method for creating a virtual video, comprising at least one of steps

a)-d):

a) Sending an image of an object to a receiver via an information line (*e.g., the receiver being a low-cost PC; column 15, lines 5-10 and an information line refers to the communication line between the low cost PC and the image bitmap database; column 14, lines 63-67*), said image having a plurality of identifiable image points, said plurality of identifiable image points (*e.g., samples for the bitmap of the facial parts are fewer than the remaining bitmap image points and the samples correspond to the object points of the facial object*) being substantially fewer in number than a number of remaining image points of said image points of said image, said object having a plurality of identifiable object points, and said plurality of identifiable image points corresponding to said plurality of identifiable object points (*e.g., object refers to a three-dimensional object such as a talking person or the talking head of the base face; and the image refers to a bitmap of a facial part. In the case of modeling a human face, the set of three-dimensional planes correspond to a set of pre-defined facial parts and these bitmaps are then normalized and parameterized before being entered into a database. For the synthesis of a human head, a text-to-speech synthesizer provides the audio track, as well as a phoneme string and trajectory which computes motion for all the facial parts including the whole head. These trajectories provide the parameters for selecting the proper bitmaps from the database; see column 3, lines 27-53*);

b) Determining object position data of said plurality of identifiable object points on said object (*e.g., Fig. 24 and Table 2 list various identifiable object points on the grid. Moreover, to create a video animation at 30 frames per second, the trajectory is sampled every 33.33 milliseconds and for each sample point, the closest grid entry and its associated bitmap is chosen and the parameters describing feature shapes are chosen such that transitions between*

neighboring samples look smooth; column 13, lines 22-34; A frame of the final animation can be generated when bitmaps of all the face parts have been retrieved from the database and the bitmap of the base face is first copied into the frame buffer, then the bitmaps of face parts are projected onto the base face using the 3D model and the pose and the whole frame is rendered with just a few texture-map operations which makes it possible to render the talking head in real time on a low-cost PC; column 14, lines 62 to column 15, lines 9);

c) Sending said object position data to said receiver via an information line (*e.g., the receiver being a low-cost PC; column 15, lines 5-10 and an information line refers to the communication line between the low cost PC and the image bitmap database; column 14, lines 63-67*); and

d) Morphing or warping said image such that image position data of said identifiable image points of said image are adjusted to approximately correspond to said object position data (*Morphing is discussed in the Background of Invention and the cited reference discloses that it is sufficient to use warping or alpha blending said image such that image position data of said identifiable image points of said image are adjusted to approximately correspond to said object position data for the purpose of computational saving; see column 13, lines 55-67. The cited reference teaches that morphing provides better results. During the transition interval, the resulting pixel is a blend of the corresponding pixels from sample a and sample b. The number of samples that are used to create a transition varies depending on the sampling rate of the trajectory and the duration of the samples. When the database contains few samples, the visual difference between samples is larger and more sophisticated techniques such as morphing provide better results. In column 14, the cited reference discloses that instead of directly*

mapping a phoneme to a viseme, each parameter of a viseme is derived from a sequence of phonemes and this generic model for coarticulation can be converted to a data-driven model and to synthesize new articulations of speech, the appropriate phoneme sequences are identified in the coarticulation database and are then concatenated.

Although the cited reference teaches warping or cheaper blending technique, it also teaches the claim limitation of "morphing" by disclosing the warping technique and the texture mapping technique for blending the image bitmaps and the base face model. The cited reference further discloses using morphing of the image bitmaps and the base face model to provide better results when the database contains few samples. Moreover, morphing has been extensively discussed in the Background of Invention. The cited reference teaches that morphing, warping and alpha blending for the texture mapping are the appropriate technique for smoothing and blending applied to the strings of bitmaps to eliminate hard transitions and create a seamless animation for each facial part (column 3, lines 34-53 and Fig. 5, column 6, lines 7-20; column 7, lines 40-61). In column 7, lines 40-61, the cited reference further discloses a morphological operation followed by adaptive thresholding to result in a binary image where areas of facial features are marked with blobs of black pixels.

Claim 2:

Cosatto further discloses morphing said image bitmaps such that image position data of said remaining image points are adjusted depending on said object position data (column 7, lines 50-61 and column 11, column 14, line 62 to column 15, lines 9).

Claim 3:

Cosatto further discloses a face of a person and said plurality of identifiable object points comprises at least one of the following features including an eye, a nostril, an eyebrow, and a mouth (column 7, lines 35-40, and column 14, lines 53-61).

Claim 4:

Cosatto further discloses three-dimensional object position data of a talking head (Fig. 24 and Table 2 and column 11).

Claim 5:

Cosatto further discloses the animation of the remaining facial parts including jaw, eyes, forehead and eyebrows and identifying and determining the remaining facial parts include identifying and determining the second identifiable image points corresponding to the second identifiable image points of the base face (column 14, lines 53-61).

Claim 6:

Cosatto further discloses the claim limitation of identifying said plurality of second . identifiable image points at least in part by point differentiation, whereby a second identifiable image point is identified by differentiating said second identifiable image point from other points in said second image on the basis of at least one of absolute position in said second image; relative position compared to said other points; and magnitude/brightness (e.g., absolute positions are identified; see column 9, line 50 to column 10, line 17 and Table I).

Claim 7:

Cosatto further discloses in column 14, lines 62-67 that a frame of the final animation can be generated when bitmaps of all the face part have been retrieved from the database and the bitmap of the base face is first copied into the frame buffer and then the bitmaps of face parts are

projected onto the base face using the 3D model and the pose. The second image and the third

image refer to the second bitmap and the third bitmap of the facial parts. The first frame and the

second refer to the first frame and the second in a sequence of viseme. With regards to the

identifiable image points, Cosatto discloses in column 10, lines 50-53 that the outline of lips, one

of the facial parts, for example, encoded as a sequence of points and all these points are then

mapped into the normalized plane before entering them into the database. With regards to the

object points, Cosatto further discloses in Fig. 24 and Table 2 a list of various identifiable object

points on the grid. With regards to the relationship between the first frame and the second,

Cosatto discloses that, to create a video animation at 30 frames per second, the trajectory is

sampled every 33.33 milliseconds and for each sample point, the closest grid entry and its

associated bitmap is chosen and the parameters describing feature shapes are chosen such that

transitions between neighboring samples look smooth; column 13, lines 22-34; A frame of the

final animation can be generated when bitmaps of all the face parts have been retrieved from the

database and the bitmap of the base face is first copied into the frame buffer, then the bitmaps of

face parts are projected onto the base face using the 3D model and the pose and the whole frame

is rendered with just a few texture-map operations which makes it possible to render the talking

head in real time on a low-cost PC; column 14, lines 62 to column 15, lines 9.

Claim 8:

Cosatto further discloses that the number of samples that are used to crate a transition

varies depending on the sampling rate of the trajectory and the duration of the samples (column

13, lines 55-67).

Claim 9:

Cosatto further discloses capturing tens of thousands of video frames (column 15, lines 30-46), training a set of 300 frames (column 8, lines 50-55) and using a variety of frame rates including a rate of at least 5 times per second (column 6, line 66 to column 7, line 13).

Re Claim 13:

Cosatto further discloses viewing a facial image as viseme (column 15, lines 10-19) and marking an area by the color analysis as a candidate of a face area combined with candidates of eye areas produced by the texture analysis (column 7, lines 50-61) and marking on the shape of the lips of the current phoneme being uttered (column 14) and mapping a phoneme to a viseme (column 14).

Re Claim 14:

Cosatto further discloses that the bitmaps of face parts are projected onto the base face using the 3D model and the pose and the whole frame is rendered with just a few texture-map operations which makes it possible to render the talking head in real time on a low-cost PC; column 14, lines 62 to column 15, lines 9 and thereby Cosatto discloses that identifying mouth image position data being performed automatically by a computer processor.

Re Claims 15 and 20:

Cosatto discloses capturing accurately realistic speech postures, human subjects speaking short text sequences in front of a camera and automatically analyzing the video footage by the face recognition system and selecting the proper samples and extracting the needed bitmaps from video frames and synthesizing the talking head animation to create the photo-realistic talking head (column 4, lines 10-22). Cosatto discloses mapping a phoneme to a viseme (column 14) and using the text-to-speech synthesizer to drive the entire animation to create a talking head (column

15). Cosatto further discloses that morphing, warping and alpha blending for the texture mapping

are the appropriate technique for smoothing and blending applied to the strings of bitmaps to

eliminate hard transitions and create a seamless animation for each facial part (column 3, lines

34-53 and Fig. 5, column 6, lines 7-20; column 7, lines 40-61). In column 7, lines 40-61, the

cited reference further discloses a morphological operation followed by adaptive thresholding to

result in a binary image where areas of facial features are marked with blobs of black pixels.

Re Claim 16:

Cosatto further discloses morphing the remaining facial parts such as jaw, eyes, forehead

and eyebrows (column 14).

Re Claim 17:

Cosatto further discloses capturing tens of thousands of video frames (column 15, lines

30-46), training a set of 300 frames (column 8, lines 50-55) and using a variety of frame rates

including a rate of at least 5 times per second (column 6, line 66 to column 7, line 13). Cosatto

discloses displaying a virtual video of a talking head (column 15).

Re Claim 19:

Cosatto discloses high-resolution animation involving the short sequences for the base

face totaling about 3MB compressed using MPEG 2 and the facial parts including jaw, eyes,

forehead and eyebrows of 5 kB for each sample with a total of 40 samples and 48 mouth samples

to create the sound face image (column 11, line 39 to column 12, line 5).

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all

obviousness rejections set forth in this Office action:

> (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claim 10 is rejected under 35 U.S.C. 103(a) as being unpatentable over Cosatto et al.

U.S. Patent No. 6,504,546 (hereinafter Cosatto) in view of Hayashi U.S. Patent No. 5,652,670

(hereinafter Hayashi).

Cosatto further discloses recording a person's posture using cameras (column 6, lines 50-

65) and using the 3D scanning techniques such as a CyberWare range scanner (column 1, lines

50-65). Cosatto is silent to using the laser based scanners and cameras. However, Hayashi

discloses a laser scanner (See Hayashi the Abstract). It would have been obvious to have used

Hayashi's laser scanner for taking a person's facial image because Cosatto has taught using a

CyberWare range scanner or an optical scanner (column 1, lines 50-65) which may be a laser

scanner by itself, or if not, alternatively using Hayashi's laser scanner because at the time of

invention, a laser scanner is available for taking a person's facial image. One of the ordinary skill

in the art would have been motivated do incorporate an optical scanner such as a laser scanner

for taking a person's facial image using a compact scanner for cost reduction (Hayashi column

1).

Claim 11 is rejected under 35 U.S.C. 103(a) as being unpatentable over Cosatto et al.

U.S. Patent No. 6,504,546 (hereinafter Cosatto).

Cosatto further discloses machine-executable code (Table 3 and column 12, lines 53-57) to cause a machine (PC) to perform the method as in claim 1. Cosatto however is silent to a computer-usable medium. However, one of ordinary skill in the art would have recognized that computer usable medium (i.e., floppy, cd-rom, etc.) carrying computer-executable instructions for implementing a method, because it would facilitate the transporting and installing of the method on other systems, is generally well-known in the art. For example, a copy of the Microsoft Windows operating system can be found on a cd-rom from which Windows can be installed onto other systems, which is a lot easier than running a long cable or hand typing the software onto another system. The Office takes Official Notice of this teaching. Therefore, it would have been obvious to put Cosatto's program or algorithm on a computer readable medium, because it would facilitate the transporting, installing and implementing of Cosatto's program or algorithm on other systems.

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Jin-Cheng Wang whose telephone number is (571) 272-7665. The examiner can normally be reached on 8:00 - 6:30 (Mon-Thu).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Mike Razavi can be reached on (571) 272-7664. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent

Application Information Retrieval (PAIR) system. Status information for published applications

may be obtained from either Private PAIR or Public PAIR. Status information for unpublished

applications is available through Private PAIR only. For more information about the PAIR

system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR

system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

jcw

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